## EXPRESS MAIL LABEL NO. EV 402900025 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Kyle A. Brownell, et al.

Serial No.:

Filed:

Herewith

Examiner:

Group Art Unit:

Docket No.:

410193.90155

Title:

Two Stage Air Filter

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.97-1.98, Applicant is submitting a listing of documents on several Forms PTO-1449. In particular, a copy of each Form PTO-1449 submitted during the prosecution of the patent application from which the present patent application claims priority, listing the cited documents, is enclosed. Applicant further encloses herewith a copy of each non-U.S. Patent publication cited in the enclosed Forms PTO-1449.

Pursuant to MPEP §609, the submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant is not commenting on the relevance of the identified references beyond the comments made along with the Supplemental IDS filed April 8, 2004 in the parent application, and further is not discharging the duty of the Examiner to review the cited references and draw his own conclusions regarding the degree of relevance of each reference with respect to the pending claims.

This information disclosure statement is being filed before the mailing of a first Office Action for the continuation application and, hence no fees should be due for this communication. Should there be any charges involved, the Commissioner is hereby authorized to charge Deposit Account No. 17-0055.

Applicant respectfully requests that the listed documents be considered by the Examiner, be made of record in the present application, and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

Kyle A. Brownell

Adam J. Forman Reg. No. 46,707 Attorney for Applicant

Quarles & Brady

411 E. Wisconsin Avenue, Suite 2040

Milwaukee WI 53202-4497

(414) 277-5405

MKE\5568055

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Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/090,040	
INFORMATION DISCLOSURE	Filing Date	03/01/2002	
	First Named Inventor	Kyle A. Brownell	
STATEMENT BY APPLICANT	Art Unit	1742	
(Use as many sheets as necessary)	Examiner Name	Robert A. Hopkins	
Sheet 1 of 1	Attorney Docket Number	410193.90155	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> (# known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
	1	<sup>US-</sup> 5,997,618	12/07/1999	Schneider, et al.	
	2	<sup>US-</sup> 6,077,335	06/20/2000	Schneider, et al.	
	3	<sup>US-</sup> 6,050,208	04/18/2000	Kennedy	
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τe			
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Translation is attached.

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If you need assistance in completing the form call 1-800-PTO-9199 (1-800-PT6-9199) and select option 2

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/090,040 Filing Date March 1, 2002 INFORMATION DISCLOSURE First Named Inventor Kyle A. Brownell STATEMENT BY APPLICANT

Art Unit 1724 (Use as many sheets as necessary) **Examiner Name** Robert A. Hopkins of Sheet 1 Attomey Docket Number 410193.90155

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2</sup> (4 innown)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 3,970,558	07-20-1976	Lee	
	2	<sup>US-</sup> 4,610,705	09-09-1986	Sarnosky, et al.	
	3	<sup>US-</sup> 4,708,000	11-24-1987	Besik	
	4	<sup>US-</sup> 4,900,341	02-13-1990	Csabai	
	5	<sup>US-</sup> 4,976,760	12-11-1990	Helferich, et al.	
	6	<sup>US-</sup> 5,124,177	06-23-1992	Kasmark, Jr., et al.	
	7	<sup>US-</sup> 5,171,720	12-15-1992	Kawakami	
	8	<sup>US-</sup> 5,384,290	01-24-1995	Brezny	
	9	<sup>US-</sup> 5,497,620	03-12-1996	Stobbe	
	10	<sup>US-</sup> 5,567,392	10-22-1996	Becker, et al.	
	11	<sup>US-</sup> 5,693,298	12-02-1997	Bar-Ilan	
	12	<sup>US-</sup> 5,810,895	09-22-1998	Staehle, et al.	
	13	<sup>US-</sup> 6,051,199	04-18-2000	Teller	
	14	<sup>us.</sup> 6,165,519	12-26-2000	Lehrer, et al.	
	15	<sup>US-</sup> 6,237,587	05-29-2001	Sparling, et al.	
		US-			

	FOREIGN PATENT DOCUMENTS								
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear				
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Sut	stitute for form 1449A/PTC	)	Complete if Known		
	CODMATION	DICCI OCUDE	Application Number	10/090,040	
		DISCLOSURE	Filing Date	March 1, 2002	
S	TATEMENT B	Y APPLICANT	First Named Inventor	Kyle A. Brownell	
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She	et	of	Attorney Docket Number	410193.90155	

				U.S. PATENT DOCU	JMENTS	
Examiner Initials	Cite No.1	U.S. Patent E Number	Oocument Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		3,955,949		John F. Rohrer	05-11-1976	
		4,350,504		Wolodymyr Diachuk	09-21-1982	
		5,733,350		Christopher O. Muller	03-31-1998	
		4,604,110		Stephen E. Frazier	08-05-1986	
		6,042,628		Shigeru Nishikiori	03-28-2000	<del></del>
		6,293,983	B1	Ronald More	09-25-2001	
		3,354,623		Joseph R. Keller	11-28-1967	
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	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>s</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Le
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)
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Su	ubstitute	for form 1449A/PTO			Complete if Known		
		D14471011	<b>~</b>	201 001105	Application Number	10/090,040	
INFORMATION DISCLOSURE					Filing Date	Mary 1, 2002	
S	STATEMENT BY APPLICANT				First Named Inventor	Kyle A. Brownell	
					Group Art Unit	1724	
	(use as many sheets as necessary)			s necessary)	Examiner Name		
She	eet 1		of	1	Attorney Docket Number	410193.90155	

				U.S. PATENT DOCU	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent I Number	Document Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,872,892		Vartiainen et al	Oct. 10, 1989	
		4,484,563		Fritz et al.	Nov. 27, 1984	
		5,087,272		Nixdorf	Feb. 11, 1992	
		6,251,153		Neitzel et al.	Jun. 26, 2001	
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## Complete if Known Substitute for form 1449A/PTO 10/090,040 **Application Number** INFORMATION DISCLOSURE **Filing Date** March 1, 2002 STATEMENT BY APPLICANT First Named Inventor Brownell, et al. **Group Art Unit** 1724 (use as many sheets as necessary) Robert A. Hopkins **Examiner Name**

Attorney Docket Number

			,	U.S. PATENT DOC	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent	Document Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5.776.354		van der Meer et al.	07/07/1998	
		5,810,895		Staehle et al.	09/22/1998	
		5.997.618		Schneider et al.	12/07/1999	
		6,010,558		Ackland	01/04/2000	
		6.077,335		Schneider et al.	06/20/2000	
		6,077,800		Takahashi et al.	06/20/2000	
		6,095,037		Savage et al.	08/01/2000	
		6,168,651		Tuma et al.	01/02/2001	
		6,340,379		Penth et al.	01/22/2002	
		6,432,177		Dallas et al.	08/13/2002	
		6,464,770		Palm et al.	10/15/2002	
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		5,700,973		Siddiqui	12/23/1997	
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		6,605,648		James R. Johnson	08/12/2003	
		5,750,026		Gadkaree et al.	05/12/1998	
		5,766,458		Sekhar et al.	06/16/1998	
		4,388,086		Bauer et al.	06/14/1983	
		4,350,504		Diachuk	09/21/1982	

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Attorney Docket Number of 5 410193.90155 Sheet

				U.S. PATENT DOCU	JMENTS	
Examiner	Cite	U.S. Patent Do	cument	Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant
Initials*	No.1	Number	(ind Code <sup>2</sup> if known)	of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
		4,126,433		Forssberg et al.	11/21/1978	
		6,641,788		Ogawa et al.	11/04/2003	
		5.145.648		Miyahara et al.	09/08/1992	
		5,976,221		Bowman et al.	11/02/1999	
		5,792,360		Algar	08/11/1998	
		4,682,992		Fuchs	07/28/1987	
		6,074,177		Kobavashi et al.	06/13/2000	
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		4,292,285		Nakao et al.	09/29/1981	
		5,145,648		Miyahara et al.	09/08/1992	
		6,010,558		Ackland	01/04/2000	
		5,628,916		Stevens et al.	05/13/1997	
		5,624,875		Nakanishi et al.	04/29/1997	
		5,567,090		Basak et al.	10/22/1996	·
		5,512,088		McKenzie	04/30/1996	
		5,486,370		Bivens	01/23/1996	
		5,442,924		Tsai et al.	08/22/1995	
		5,404,799		Bivens	04/11/1995	
		5,209,887	[	Von Blucher et al.	05/11/1993	
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			SCLOSURE	Filing Date	March 1, 2002		
STA	<b>ITEMENT B</b>	YY	APPLICANT	First Named Inventor	Brownell, et al.		
	·			Group Art Unit	1724		
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				U.S. PATENT DOCI	JMENTS	
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		4,604,110		Stephen E. Frazier	08/05/1986	
		4,534,775		Stephen E. Frazier	08/13/1985	
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Examiner	Cite No.1	F	oreign Patent Do		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	1
Initials		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>s</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	16
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Complete if Known Substitute for form 1449/PTO Application Number 10/090.040 Filing Date March 1, 2002 INFORMATION DISCLOSURE First Named Inventor Brownell, et al. STATEMENT BY APPLICANT Art Unit 1724 (Use as many sheets as necessary) **Examiner Name** Robert A. Hopkins Attorney Docket Number 410193.90155

Examiner	Cite	Document Number	Publication Date	DOCUMENTS  Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2 (I known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
		<sup>US-</sup> RE 34,636	06/14/1994	Bivens	
		<sup>US-</sup> 3,970,558	07/20/1976	Lee	
		<sup>US-</sup> 4,082,661	04/04/1978	Aoki et al.	
		<sup>US-</sup> 4,104,163	08/01/1978	Grutsch	
		<sup>US-</sup> 4,118,206	10/03/1978	Hagendoom	
		[ <sup>US-</sup> 4,172,031	10/23/1979	Hall et al.	
	Ĺ	<sup>US-</sup> 4,177,142	12/04/1979	Halbfoster	
		<sup>US-</sup> 4,231,768	11/04/1980	Seibert et al.	
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		<sup>US-</sup> 4,328,105	05/04/1982	Arbuckle	
		<sup>US-</sup> 4,485,622	12/04/1984	Takagi et al.	
		<sup>US-</sup> 4,595,509	06/17/1986	Fox et al.	
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		<sup>US-</sup> 4.805.525	02/21/1989	Bivens	
		<sup>US-</sup> 4.816.499	03/28/1989	Nomura et al.	
		<sup>US-</sup> 4.908.050	03/13/1990	Nagashima et al.	
		<sup>US-</sup> 5.003.693	04/02/1991	Atkinson et al.	
		<sup>US-</sup> 5.154.743	10/13/1992	Takato et al.	

		FURE	IGN PATENT DOCU	JMENIS		
Examiner Initials*	Cite No.	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	П
	No.	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		GB 1.014.594	12/31/1965	Orlo Clair Norton		
		GB 1,255,268	12/01/1971	US Atomic Energy Comsn		1
		DD 74,699	07/12/1970	Karl Winter		T
		EP 1,238,679	09/11/2002	ENEA		1
		WO 02/070105A2	09/12/2002	Johnson, James R.	1	
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Subsulu	te for form 1449/F10			Application Number	10/090,040		
INF	ORMATION	DIS	CLOSURE	Filing Date	March 1, 2002	_	
STATEMENT BY APPLICANT				First Named Inventor	Brownell, et al.		
	#1	4		Art Unit	1724		
	(Use as many she	ets as n	ecessary)	Examiner Name	Robert A. Hopkins		
Sheet	2	of	5	Attorney Docket Number	410193.90155		

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		G. Elliott et al, "The increasing Use of Ceramic Filters in Air Pollution Control Applications," Filtr. Sep. Vol. 34 No. 4 pp. 331-335, 1997, Elsevier Science Ltd.	
		N. Singh et al., "Precess Design and Economic Analysis of a Ceramic Membrane System for Microfiltration of Com Starch Hydrolysate," Journal of Food Engineering, Vol. 38 No. 1 pp. 57-67, 1998 Elsevier Science Ltd.	

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